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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/822,961         | 03/30/2001          | Mark Bunger           | SFTC-01004US0          |

## CONFIRMATION NO. 8324

28554  
VIERRA MAGEN MARCUS HARMON & DENIRO LLP  
685 MARKET STREET, SUITE 540  
SAN FRANCISCO, CA 94105

## FORMALITIES LETTER



\*OC000000006054448\*

Date Mailed: 05/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

Adjustment date: 01/30/2002 AKELLEY  
01/08/2002 GTEFFERA 00000128 501826 09822961  
03 FC:205 65.00 CR

*A copy of this notice MUST be returned with the reply.*

RECEIVED

JAN 08 2002

OFFICE OF PETITIONS

01/08/2002 GTEFFERA 00000128 501826 09822961  
03 FC:205 65.00 CH

*M.Duony*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

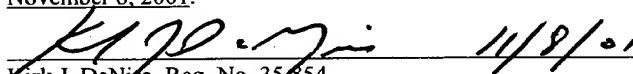


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|   |                              |
|---|------------------------------|
| In re Application   | ) <u>PATENT APPLICATION</u>  |
|   | )                            |
| Inventors: Bunger, et al.   | )                            |
|   | )                            |
| Appl. No.: 09/822,961   | )                            |
|   | )                            |
| Filed: March 30, 2001   | )                            |
|   | )                            |
| Title: METHOD, SYSTEM, AND COMPUTER<br>READABLE MEDIUM FOR FACILITATING<br>A TRANSACTION BETWEEN A CUSTOMER,<br>A MERCHANT AND AN ASSOCIATE | )<br>Customer No. 28554<br>) |

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on November 8, 2001.

  
Kirk J. DeNiro, Reg. No. 35854  
Signature Date: November 8, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

**RECEIVED**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

JAN 08 2002  
**OFFICE OF PETITIONS**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated May 8, 2001, enclosed are the following documents in connection with the above-identified application:

- Copy of Notice to File Missing Parts of Nonprovisional Application
- Declaration for Patent Application
- Assignment and Assignment Recordation Form Cover Sheet
- Power of Attorney
- Petition for Extension of Time (4 months, to 11/8/01)
- Copy of Petition to File Patent Application When an Inventor, Michael McCaffery, Cannot be Reached or Found to Sign the Declaration

Copy of Statement of Edward Bank in Support of Petition to File Patent Application When an Inventor, Michael McCaffery, Cannot be Reached or Found to Sign the Declaration

*[Originals of (1) Petition to File Patent Application and (2) Statement of Edward Bank have been filed with the Assistant Commissioner for Patents at Box DAC.]*

**Total Fee**

The Total Fee associated with this communication has been calculated as shown below:

|                                     |  |          |
|-------------------------------------|--|----------|
| <input checked="" type="checkbox"/> | Petition fee under 37 C.F.R. §1.17(h)  | \$130.00 |
| <input checked="" type="checkbox"/> | Net fee for extension of time  | \$720.00 |
| <input checked="" type="checkbox"/> | Assignment recording fee (\$40.00)   | \$ 40.00 |
| <input checked="" type="checkbox"/> | Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or declaration: |          |
|                                     | Large Entity     \$130.00  | \$       |
| <input checked="" type="checkbox"/> | Small Entity    \$ 65.00   | \$ 65.00 |
| TOTAL FEE DUE:                      |  | \$955.00 |

**Method of Payment of Fees**

- A check in the amount of the TOTAL FEE DUE is enclosed.
- The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 501826. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

By:



Kirk J. DeNiro  
Reg. No. 35,854

Date: November 8, 2001

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